

+XPDQ 5HVRXUFHV

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0DLQ &DPSXV
8VHU , ' 3

2YHUYLHZ

+XPDQ 5HVRXUFHV 2YHUYLHZ

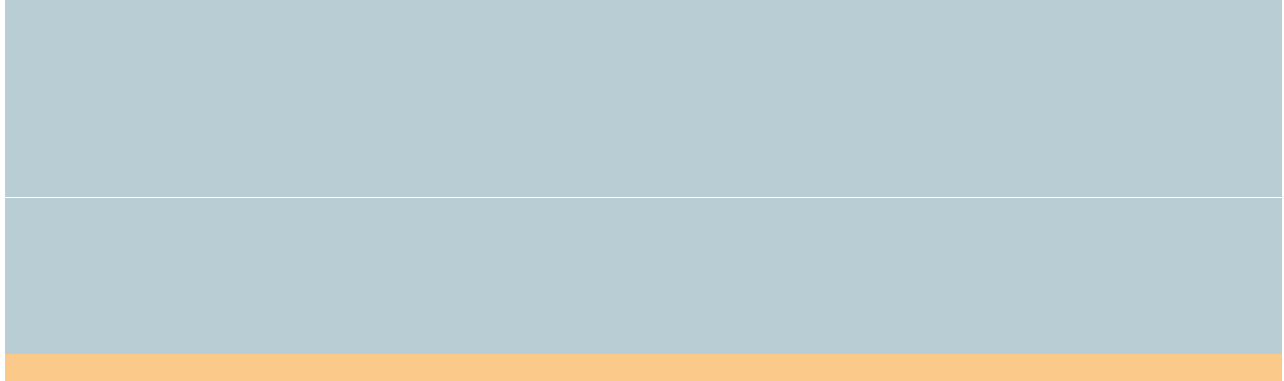
,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0DLQ &DPSXV
8VHU , ' 3

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0DLQ &DPSXV
8VHU , ' 3

3DUW \$)XOO WLPH ,QVWUXFWLRQDO 6WDII E\ \$FDGHPLF 5DQN DQG 7HQXUH
<HDU &RQWUDFW

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0DLQ &DPSXV
8VHU , ' 3

3DUW \$)XOO WLPH ,QVWUXFWLRQDO 6WDII E\ \$FDGHPLF 5DQN DQG 7HQXUH
7KDQ \$QQXDO &RQWUDFW

A table with approximately 8 columns and 10 rows. The first column is shaded light blue. The remaining columns have alternating light blue and light grey shading. The bottom row has a black cell in the fourth column. The rightmost column is shaded light teal.

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0DLQ &DPSXV
8VHU , ' 3

3DUW \$)XOO WLPH ,QVWUXFWLRQDO 6WDII :LWKRXW)DFXOW\ 6WDWXV
1XPEHU RI)XOO WLPH ,QVWUXFWLRQDO 6WDII
:LWKRXW)DFXOW\ 6WDWXV
\$V RI 1RYHPEHU

5HSRUW +LVSDQLF /DWLQR LQGLYLGXDOV RI DQ\ UDFH DV +LVSDQLF /DWLQR
5HSRUW UDFH IRU QRQ +LVSDQLF /DWLQR LQGLYLGXDOV RQO\
,QFOXGH ERWK 3ULPDULO\ ,QVWUXFWLRQ DQG ,QVWUXFWLRQ &RPELQHG ZLWK 5HVHDUFK DQG
,QFOXGH ERWK PHGLFDO VFKRRO DQG QRQ PHGLFDO VFKRRO VWDII

0HQ
5DFH HWKQLFLW\ :LWKRXW)DFXOW\ 6WDWXV
1RQUHVLGHQW DOLHQ
+LVSDQLF /DWLQR
\$PHULFDQ ,QGLDQ RU \$ODVND 1DWLYH
\$VLDQ
%ODFN RU \$IULFDQ \$PHULFDQ
1DWLYH +DZDLLDQ RU 2WKHU 3DFLILF ,VODQGHU

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0DLQ &DPSXV
8VHU , ' 3

3DUW \$)XOO WLPH ,QVWUXFWLRQDO 6WDII E\)XQFWLRQ
1XPEHU RI)XOO WLPH ,QVWUXFWLRQDO 6WDII

\$V RI 1RYHPEHU

5HSRUW 3ULPDULO\ ,QVWUXFWLRQ DQG ,QVWUXFWLRQ FRPELQHG ZLWK 5HVHDUFK DQG RU 3XE
EHORZ

5HSRUW 1RQ PHGLFDO VFKRRO DQG 0HGLFDO VFKRRO VWDII VHSUDUDWHO\ DV LQGLFDWHG EH

:LWK)DFXOW\ 6WDWXV :LWKR 7RWDO

| | | | |
|------|------------|---------------------|----------|
| 7HQX | 2Q | 1RW RQ 7HQXUH 7UDFN | DFXC |
| 7HQX | 0XOWL \HDU | QXOW\WKDQ | 6WDII |
| 7UDF | FRWLQXLQ | FRWLQXLQ | FRWLQXLQ |
| | ZLOO | FRWUDFW | |

1RQ PHGLFDO VFKRRO VWDII

1RQ PHGLFDO VFKRRO VWDII IURP

SULRU \HDU

3ULPDULO\ ,QVWUXFWLRQ

([FOXVLYHO\ FUHGLW

([FOXVLYHO\ QRW IRU FUHGLW

&RPELQHG FUHGLW QRW IRU

FUHGLW

,QVWUXFWLRQ UHVHDUFK SXEOLF

VHUULFH VWDII

0HGLFDO VFKRRO VWDII

0HGLFDO VFKRRO VWDII IURP SULRU

\HDU

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0DLQ &DPSXV
8VHU , ' 3

3DUW \$)XOO WLPH ,QVWUXFWLRQDO 6WDII 7RWDOV
7RWDO QXPEHU RI)XOO WLPH ,QVWUXFWLRQDO 6WDII

\$V RI 1RYHPEHU

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|--|--|--|--|--|
| 5DFH HWKQLFLW\ 1RQUHVLGHQW DOLHQ +LVSDQLF /DWLQR \$PHULFDQ ,QGLDQ RU \$ODVND 1DWLYH \$VLDQ %ODFN RU \$IULFDQ \$PHULFDQ 1DWLYH +DZDLLDQ RU 2WKHU 3DFLILF ,VODQGHU :KLWH 7ZR RU PRUH UDFHV 5DFH DQG HWKQLFLW\ XQNQRZQ 7RWDO 7RWDO IURP SULRU \HDU | 7RWDO PHQ 7RWDO ZRPHQ 7RWDO PHQ 7RWDO ZRPHQ | | | |
|--|--|--|--|--|

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0DLQ &DPSXV
8VHU , ' 3

3DUW %)XOO WLPH 1RQ LQVWUXFWLRQDO 6WDII E\ 2FFXSDWLRQDO &DWHJR
1XPEHU RI)XOO WLPH 1RQ LQVWUXFWLRQDO 6WDII
E\ 2FFXSDWLRQDO &DWHJRU\

\$V RI 1RYHPEHU

5HSRUW +LVSDQLF /DWLQR LQGLYLGXDOV RI DQ\ UDFH DV +LVSDQLF /DWLQR
5HSRUW UDFH IRU QRQ +LVSDQLF /DWLQR LQGLYLGXDOV RQO\
,QFOXGH ERWK PHGLFDO VFKRRO DQG QRQ PHGLFDO VFKRRO VWDII

0HQ

5DFH HWKQLFLW\

,QVWUXFWLRQDO 6WDII

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0DLQ &DPSXV
8VHU , ' 3

3DUW %)XOO WLPH 1RQ LQVWUXFWLRQDO 6WDII E\ 2FFXSDWLRQDO &DWHJR
1XPEHU RI)XOO WLPH 1RQ LQVWUXFWLRQDO 6WDII
E\ 2FFXSDWLRQDO &DWHJRU\

\$V RI 1RYHPEHU

5HSRUW +LVSDQLF /DWLQR LQGLYLGXDOV RI DQ\ UDFH DV +LVSDQLF /DWLQR
5HSRUW UDFH IRU QRQ +LVSDQLF /DWLQR LQGLYLGXDOV RQO\
,QFOXGH ERWK PHGLFDO VFKRRO DQG QRQ PHGLFDO VFKRRO VWDII

0HQ

5DFH HWKQLFLWU FKLYLVWV &XFDWLRQDO DQG 6WXGHQW DQG \$FDGHPLF \$IIDLUV DQG 2
0XVHXP 7HFKQLFLDQ 7HFKQLFLDQ (XFDWLRQ 6HUylFHV 2FFXSDWLRQV

1RQUHVLGHQW DOLHQ
+LVSDQLF /DWLQR
\$PHULFDQ ,QGLDQ RU
\$ODVND 1DWLYH

%XVLQHVV DQG)LQDQFLDO 2SHUDWLRQV
2FFXSDWLRQV

&RPSXWHU (QJLQHHLQJ DQG 6FLHQFH
2FFXSDWLRQV

&RPPXQLW\ 6RFLDO 6HUYLEFH /HJDO
\$UWV 'HVLJQ (QWHUWDLQPHQW 6SRUWV
DQG 0HGLD 2FFXSDWLRQV

+HDOWKFDUH 3UDFWLWLRQHUV DQG
7HFKQLFDO 2FFXSDWLRQV

7RWDO



7RWDO IURP SULRU \HDU

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0DLQ &DPSXV
8VHU , ' 3

3DUW %)XOO WLPH 1RQ LQVWUXFWLRQDO 6WDII E\ 0HGLFDO 6FKRRO 6WDW
1XPEHU RI)XOO WLPH 1RQ LQVWUXFWLRQDO 6WDII

\$V RI 1RYHPEHU

2FFXSDWLRQDO FDWHJRU\ 7RWDO 1RQ PHGLFDO MFKRRO VFKRRO
FDUULHG VWDII VWDII
IRUZDUG
IURP 3DUW %

6HUYLFH 2FFXSDWLRQV

6DOHV DQG 5HODWHG 2FFXSDWLRQV

2IILFH DQG \$GPLQLVWUDWLYH 6XSSRUW 2FFXSDWLRQV

1DWXUDO 5HVRXUFHV &RQVWUXFWLRQ DQG 0DLQWHQDQFH
2FFXSDWLRQV

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0DLQ &DPSXV
8VHU , ' 3

3DUW ' 3DUW WLPH 6WDII E\ 2FFXSDWLRQDO &DWHJRU\
1XPEHU RI 3DUW WLPH 6WDII E\ 2FFXSDWLRQDO &DWHJRU\
\$V RI 1RYHPEHU

5HSRUW +LVSDQLF /DWLQR LQGLYLGXDOV RI DQ\ UDFH DV +LVSDQLF /DWLQR
5HSRUW UDFH IRU QRQ +LVSDQLF /DWLQR LQGLYLGXDOV RQO\
,QFOXGH ERWK PHGLFDO VFKRRO DQG QRQ PHGLFDO VFKRRO VWDII


0HQ

5DFH HWKQLFLW\ ,QVWUXFWLRQDO HDVW BKI V3XELF 6HUYLEFH VWDII
1RQUHVLGHQW DOLHQ
+LVSDQLF /DWLQR
\$PHULFDQ ,QGLDQ RU \$ODVND 1DWLYH
\$VLDQ
%ODFN RU \$IULFDQ \$PHULFDQ
1DWLYH +DZDLLDQ RU 2WKHU 3DFLILF ,VODQGHU
:KLWH
7ZR RU PRUH UDFHV
5DFH DQG HWKQLFLW\ XQNQRZQ
7RWDO PHQ

:RPHQ

5DFH HWKQLFLW\ ,QVWUXFWLRQDO HDVW BKI V3XELF 6HUYLEFH VWDII
1RQUHVLGHQW DOLHQ
+LVSDQLF /DWLQR
\$PHULFDQ ,QGLDQ RU \$ODVND 1DWLYH
\$VLDQ
%ODFN RU \$IULFDQ \$PHULFDQ
1DWLYH +DZDLLDQ RU 2WKHU 3DFLILF ,VODQGHU
:KLWH
7ZR RU PRUH UDFHV
5DFH DQG HWKQLFLW\ XQNQRZQ
7RWDO ZRPHQ

7RWDO PHQ ZRPHQ
7RWDO IURP SULRU \HDU

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|--------------------------|--|--|--|--|---|
| 7RWDO ZRPHQ | | | | | |
| 7RWDO PHQ ZRPHQ | | | | |  |
| 7RWDO IURP SULRU \HDU | | | | | |

\$UFKLYLVWV &XUDWRUV DQG 0XVHXP
7HFKQLFLDQV

/LEUDULDQV

/LEUDU\ 7HFKQLFLDQV

6WXGHQW DQG \$FDGHPLF \$IIDLUV DQG 2WKHU
(GXFDWLRQ 6HUFLFHV 2FFXSDWLRQV

0DQDJHPHQW 2FFXSDWLRQV

%XVLQHVV DQG)LQDQFLDO 2SHUDWLRQV
2FFXSDWLRQV

&RPSXWHU (QJLQHULQJ DQG 6FLHQFH
2FFXSDWLRQV

&RPPXQLW\ 6RFLDO 6HUFLFH /HJDO \$UWV
'HVLJQ (QWHUWDLQPHQW 6SRUWV DQG 0HGLD
2FFXSDWLRQV

+HDOWKFDUH 3UDFWLWLRQHUV DQG 7HFKQLFDO
2FFXSDWLRQV

7RWDO



7RWDO IURP SULRU \HDU

&RPSXWHU (QJLQHHULQJ DQG 6FLHQFH
2FFXSDWLRQV

&RPPXQLW\ 6RFLDO 6HUULFH /HJDO \$UWV
'HVLJQ (QWHUWDLQPHQW 6SRUWV DQG
0HGLD 2FFXSDWLRQV

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,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0DLQ &DPSXV
8VHU , ' 3

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0DLQ &DPSXV
8VHU , ' 3

| | | | | | |
|-------------|--|--|--|--|--|
| 5DFH DQG | | | | | |
| HWKQLFLW\ | | | | | |
| XQNQRZQ | | | | | |
| 7RWDO ZRPHQ | | | | | |
| | | | | | |
| 7RWDO | | | | | |
| PHQ ZRPHQ | | | | | |

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0DLQ &DPSXV
8VHU , ' 3

+XPDQ 5HVRXUFHV 6XUYH\ (YDOXDWLRQ
:HUH DQ\ VWDII PHPEHUV GLIILFXOW WR FDWHJRUL]H" ,I VR SOHDVH H[SODLQ LQ WKH

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0DBQHU P'S XV
6XPPDU\

+XPDQ 5HVRXUFHV &RPSRQHQQW 6XPPDU\

,3('6 FROOHFWV LPSRUWDQW LQIRUPDWLRQ UHJDUGLQJ \RXU LQVWLWXWLRQ S
VXUYH\ FRPSRQHQQWV EHFRPH DYDLODEOH LQ WKH ,3('6 'DWD &HQWHU DQG DS
LQ YDULRXV 'HSDUWPHQW RI (GXFDWLRQ UHSRUWV \$GGLWLRQDOO\ VRPH RI
VSHFLILFDOO\ IRU \RXU LQVWLWXWLRQ WKURXJK WKH &ROOHJH 1DYLJDWRU ZH
LQVWLWXWLRQ V 'DWD)HHGEDFN 5HSRUW ')5 7KH SXUSRVH RI WKLV VXPPDU
RSSRUWXQLW\ WR YLHZ VRPH RI WKH GDWD WKDW ZKHQ DFFHSWHG WKURXJK
SURFHVV ZLOO DSSHU RQ WKH &ROOHJH 1DYLJDWRU ZHEVLWH DQG RU \RXU
XSGDWHG DSSUR[LPDWHO\ WKUHH PRQWKV DIWHU WKH GDWD FROOHFWLRQ SH
5HSRUWV ZLOO EH DYDLODEOH WKURXJK WKH 'DWD &HQWHU DQG VHQW WR \RX
1RYHPEHU

3OHDVH UHYLHZ \RXU GDWD IRU DFFXUDF\ ,I \RX KDYH TXHVWLRQV DERXW WK
DIWHU UHYLHZLQJ WKH GDWD UHSRUWHG RQ WKH VXUYH\ VFUHHQV SOHDVH F
RU LSHGKOS#UWL RUJ



,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0DLQ &DPSXV