

) 5 \$ 8 '

,W LV LPSRUWDQW IRU DOO 86) HPSOR\HHV DQG HPSOR\HHV DW
FRQGXFWLQJ EXVLQHVV ZLWK 86) WR XQGHUVWDQG WKHLU UHV
UHSRUWLQJ IUDXG RU VXVSHFWHG IUDXG

7KLV FRPSOLDQFH LQ D QXWVKHOO GRFXPHQW DGGUHVHV FRP
HPSOR\HHV LQ GRLQJ WKH ULJKW WKLQJ KRZHYHU WKLW JXLG
HPSOR\HHV FRQVXOWDWRQ RI RIILFLDO 86) UHJXODWRQ RU

:+\$7 ,6)5\$8'"

)UDXG FDQ EH JHQHUDOO\ GHILQH DV D ZLOOIXO RU GHOLEHU
REWDLQLQJ DQ XQDXWKRULJHG EHQHILW VHUYLFH SURSHUW\
PLVUHSUHVHQWDWRQ RU RWKHU XQHWKLFDO RU XQODZIXO PH

:+\$7 \$5(620((; \$03/(6"

)UDXG FDQ LQYROYH D YDULHW\ RI GLVKRQHVV RU LOOHJDO DF
f)RUJHU\ RU DOWHUDWRQ RI GRFXPHQWV VXFK DV FKHFNV
f 7KHIW RU GHVWUXFWLRQ RI DQ\ DVVHW
f ,PSURSHU KDQGOLQJ RU UHSRUWLQJ RI PRQH\ WUDQVDFWLRQ
f \$XWKRULJLQJ RU UHFHLYLQJ SD\PHQW IRU KRUV QRW ZRUN

:+\$7 \$5(0 < 5(3257,1* 5(63216,%,/,7,(6"

\$OO 86) HPSOR\HHV DQG UHODWHG HQWLW\ HPSOR\HHV PXVW L
PLVPDQDJPHQW ZKLFK WKH\ VXVSHFW REVHUYH RU KDYH PDC
SUDFWLFH WR \RXU VXSHUYLVRU IRU VXEVTXHQW UHSRUWLQJ
EHOLHYH \RXU VXSHUYLVRU PD\ EH LQYROYHG WKHQ UHSRUW V
RI PDQDJPHQW QWLFH WKHQ UHODWHG XGLW ,I \RX EHOLHYH
LQYROYHG WKHQ UHSRUW WKH ILQFH QHWKRU UHSRUWRU PDC
KDYH WKH RSWLRQ WR UHSRUW WKH DFWLEFDWKWQG LQW
KRWOLQH²ZKLFK HQDEOHV VDIH VHFUXH DQG DQRQ\PRXV UHSR

+2: &\$1 , \$121<0286/< 5(3257"

\$OO PHPEHUV RI WKH 86) FRPPXQLW\ PD\ VXEPLW DQ DQRQ\PRX
GLVKRQHVV DFWLYLW\ RU DQ\ RWKHU YLRODWRQ RI 86) SROLF
ZZZ HWKLFVSRUWDFWLRQ WROO IUHH ORUH LQIRUP
DYDLODE QWLFH WKHQ UHODWHG ODQGLQJ SDJH

:(5(&\$1 ,),1' 025(,1)250\$7,21"

&RQVXOW 86) 5HJXODWRQ DFW ,QWHUQDO \$XGLW DZHEVLWH RU